

FATCA-CRS Declaration & Supplementary KYC Information

Declaration Form for Entities

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

PART - A

PEKRN*									
Name									
Address Type [for KYC address]	☐ Residential ☐ Residential / Business ☐ Unspecified ☐ Business ☐ Registered Office								
Place of Birth					Country of Birth				
Gross Annual Income Details in INR	☐ Below 1 Lakh ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ 25 Lacs - 1 Cr ☐ > 1 Crore				Net Worth in INR. In Lacs Net Worth Date		_____		
Is the entity involved in / providing any of the following services:	☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates] ☐ Money Laundering / Pawning ☐ To be blank if the same is not applicable				Any other information [if applicable]		[Please specify]		

Is your [Entity] Country of Tax Residency other than India –

☐

Yes

☐

No

Part B [to be filled by Financial Institutions or Direct Reporting NFFEs]

We are a


Financial Institution
/ FFI

[refer instructions a.]



NFFE

Direct Reporting

[refer instructions a.]

GIIN (Global Intermediary Identification Number):

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Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
Name of the sponsoring entity

GIIN not available [tick any one]:
☐

Applied For

☐

Not required to apply for – specify sub-category code

[refer instructions c.]

☐

Not obtained - Non-participating FFI

Part C [Fill any one as applicable - to be filled by NFEs other than Direct Reporting NFFEs]

1

Is the entity is a listed company [whose shares are regularly traded on a recognized stock exchange] [refer instructions d.]

Yes

☐

(Please specify the name of the Stock Exchange(s) where it is traded regularly)

1.

2.

2

Is the entity a ' Related Entity' of a listed company [whose shares are regularly traded on a recognized stock exchange] [refer instructions e.]

☒

Yes(Please specify the name of the listed company, name of the Stock Exchange (s) where it is traded regularly)

Name of the listed company:

Name of the Stock Exchange:

*3

Is the entity an Active NFE?

Yes - Nature of business

Please specify sub-category of Active NFE

[refer instructions g.]

4

If the entity a Passive NFE: [refer instructions h.]

Yes - Nature of business

Also submit UBO Form [provided separately]

Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize you [Fund/AMC/RTA/NSE] to disclose, share, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end. As may be required by domestic or overseas regulators/ tax authorities, I/We authorize Fund/AMC/RTA/NSE to withhold and pay out any sums from your account or close or suspend your account(s) without any obligation of advising me of the same.

Signature with relevant seal:

Authorized Signatory

Authorized Signatory

Authorized Signatory

Date :

Place :